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CONFIRMATION NO. 9308

SERIAL NUMBER 10/071,053	FILING OR 371(c) DATE 02/08/2002 RULE	CLASS 705	GROUP ART UNIT 3624	ATTORNEY DOCKET NO. 049212-0106
APPLICANTS Bruce Bent, Plandome, NY; Bruce Bent II, New York, NY;				
** CONTINUING DATA ***** This application is a CIP of 09/176,340 10/21/1998 PAT 6,374,231 and is a CIP of 09/677,535 10/02/2000				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 04/04/2002				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		STATE OR COUNTRY NY	SHEETS DRAWING 6	TOTAL CLAIMS 20
INDEPENDENT CLAIMS 3				
ADDRESS 22428				
TITLE Systems and methods for administering return sweep accounts				
FILING FEE RECEIVED 370	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	